

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION

**Received**  
**NOV 20 2001**  
**Technology Center 2100**

Applicants : Stuart A. Fraser et al.  
Application No. : 09/670,900 Confirmation No.: 7800  
Filed : September 28, 2000  
For : SYSTEMS AND METHODS FOR TRANSFERRING  
ITEMS WITH RESTRICTED TRANSFERABILITY  
Group Art Unit : 2161  
Examiner : Not yet assigned

New York, New York  
November 19, 2001

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. PATENTS

Walker et al.	6,240,396 B1	(05/29/01)
Tozzoli et al.	6,151,588	(11/21/00)
Walker et al.	6,134,534	(10/17/00)
Ausubel	5,905,975	(05/18/99)
Fraser et al.	5,905,974	(05/18/99)
Ferstenberg et al.	5,873,071	(02/16/99)
Fisher et al.	5,835,896	(11/10/98)
Brown	5,794,219	(08/11/98)
Tozzoli et al.	5,717,989	(02/10/98)
Doggett et al.	5,677,955	(10/14/97)

Miller et al.	5,640,569	(06/17/97)
Silverman et al.	5,136,501	(08/04/92)

FOREIGN PATENTS

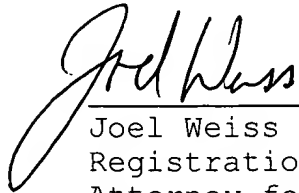
EPO	0 987 644 A2	(03/22/00)
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Copies of the aforementioned references, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these documents be: (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Joel Weiss", is written over a horizontal line.

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12-04-01  
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TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

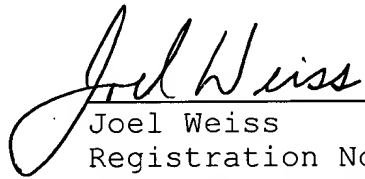
Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted:

- ☐ [ ] within three months of the application filing date;
- ☒ [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

A handwritten signature in cursive script, reading "Joel Weiss", is written over a horizontal line.

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.